



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

37  
11-29-02

Applicant: Akira Takekuma  
Serial No.: 10/071,987  
Filed: February 8, 2002  
For: LIGHT-EMITTING DIODE AND A METHOD FOR ITS  
MANUFACTURE  
Art Unit: 2822  
Examiner: Not Yet Assigned  
Attorney Docket: 40004101-02

TECHNOLOGY CENTER 2800

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Commissioner for Patents  
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed February 8, 2002.

We are enclosing copies of Japanese Patent Publication Nos. 06028941 A1; 06090029 A; 06296043 A; 11163412 A; 2000323755 A; and 2001007402 A; Swiss Patent No. CH 673 354; and German Patent Nos. DE 100 25 774 A1 and DE 199 19 944 A1.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may

deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.



CERTIFICATE UNDER 37 C.F.R. §1.97(e) FOR FILING I.D.S.

The undersigned attorney hereby certifies: That each item of information contained in the attached Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three (3) months prior to the filing of this statement.

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U.S. DEPARTMENT OF JUSTICE  
2800

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Paul D. Greeley".

August 26, 2002

Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant: Akira Takekuma

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Transmitted herewith is:

1. Supplemental Information Disclosure Statement with Certificate Under 37 C.F.R. §1.97(e);
2. PTO Form 1449 with copies of patents;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Paul D. Greeley, Esq.  
Reg. No. 31,019  
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Stamford, Connecticut 06901-2682  
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Date: August 26, 2002

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON AUGUST 27, 2002.

Allison Berkman  
NAME

Allison Berkman  
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8/27/02  
DATE

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